

ACCEPTED MANUSCRIPT

Series YBCO grain boundary Josephson junctions as a terahertz harmonic mixer

To cite this article before publication: Mei Yu *et al* 2019 *Supercond. Sci. Technol.* in press <https://doi.org/10.1088/1361-6668/ab5e13>

Manuscript version: Accepted Manuscript

Accepted Manuscript is “the version of the article accepted for publication including all changes made as a result of the peer review process, and which may also include the addition to the article by IOP Publishing of a header, an article ID, a cover sheet and/or an ‘Accepted Manuscript’ watermark, but excluding any other editing, typesetting or other changes made by IOP Publishing and/or its licensors”

This Accepted Manuscript is © 2019 IOP Publishing Ltd.

During the embargo period (the 12 month period from the publication of the Version of Record of this article), the Accepted Manuscript is fully protected by copyright and cannot be reused or reposted elsewhere.

As the Version of Record of this article is going to be / has been published on a subscription basis, this Accepted Manuscript is available for reuse under a CC BY-NC-ND 3.0 licence after the 12 month embargo period.

After the embargo period, everyone is permitted to use copy and redistribute this article for non-commercial purposes only, provided that they adhere to all the terms of the licence <https://creativecommons.org/licenses/by-nc-nd/3.0>

Although reasonable endeavours have been taken to obtain all necessary permissions from third parties to include their copyrighted content within this article, their full citation and copyright line may not be present in this Accepted Manuscript version. Before using any content from this article, please refer to the Version of Record on IOPscience once published for full citation and copyright details, as permissions will likely be required. All third party content is fully copyright protected, unless specifically stated otherwise in the figure caption in the Version of Record.

View the [article online](#) for updates and enhancements.

Series YBCO grain boundary Josephson junctions as a terahertz harmonic mixer

Mei Yu¹, Haifeng Geng¹, Tao Hua¹, Deyue An¹, Weiwei Xu¹, Zhi Ning Chen², Jian Chen¹, Huabing Wang¹ and Peiheng Wu¹

¹ Research Institute of Superconductor Electronics, School of Electronic Science and Engineering, Nanjing University, Nanjing 210023, People's Republic of China

² Department of Electrical and Computer Engineering, National University of Singapore, Singapore 117583, Republic of Singapore

E-mail: wwxu@nju.edu.cn; eleczn@nus.edu.sg; hbwang@nju.edu.cn

Received xxxxxx

Accepted for publication xxxxxx

Published xxxxxx

Abstract

Josephson devices have demonstrated the capability to work as harmonic mixers at terahertz frequencies. Low temperature devices, in particular Nb-Nb point contacts have proven particularly successful. However, practical applications of these devices have been limited by the need of utilizing liquid helium. To overcome this limitation we have investigated the use of high- T_c devices. In this paper, we report mixings up to the 154th harmonic at zero-bias in a series of $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ (YBCO) grain boundary (GB) Josephson junctions. We have integrated a meander series of three bicrystal YBCO Josephson junctions with a log-periodic antenna. When properly operated, this configuration allows to reach a harmonic number much higher than what possible with a single junction. This shows that the mixing performance benefits from the synchronous operation which also results in an improvement of dynamic range. We believe that the integration of a higher number of phase-locked junctions may further improve the mixer's performance. Future studies should investigate the effect of higher number of junctions on the mixer performances, as well as the effect of the meander length.

Keywords: series Josephson junctions, Josephson junction array, terahertz harmonic mixing, zero bias

1. Introduction

Josephson devices based on Josephson effects can have unique harmonic generating and mixing properties, which have been used in mixing experiments since the late 1960s. At liquid helium temperatures, in particular Nb-Nb point contacts have proven particularly successful. The largest harmonic number being 825 was from an Nb-Nb point-contact Josephson junction [1]. However, practical applications of these devices have been limited by the need of utilizing liquid helium. To overcome this limitation, Gao and Du *et al.* have reported many works on high- T_c superconducting (HTS)

$\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ (YBCO) step-edge Josephson junction mixers at terahertz (THz) band recently [2-6]. It shows that the largest harmonic number is around 30, much lower than that in low temperature devices. On the other hand, sizes of Josephson harmonic mixers have to be very small at THz frequency. A saturation problem of harmonic-mixer would be risen with increase of signal frequency, effective bandwidth and harmonic order. Matsui and Komiyama *et al.* performed harmonic mixing at 105.9 GHz with a series array of eleven Josephson junctions at liquid helium temperature [7]. 5 dB heightening was obtained in the signal-to-noise ratio (SNR) of intermediate frequency (IF) output in the 11th harmonic mixing. They attributed it to the enhancement of dynamic

range by using the series array structure. Later, Konopka and Wolf *et al.* accomplished 7th harmonic mixing for 670 GHz detection by a series array of twenty YBCO step-edge Josephson junctions [8]. Regarding direct current (DC) bias, they mentioned the IF amplitude was very weakly dependent on the biasing point of the superconducting structure. Efficient mixing without biasing was also observed [9]. Thus, zero-bias operation is feasible for Josephson junction mixer and has a great advantage of not requiring a DC supply and thus no heating effect or shot noise is produced in the junction due to DC bias. Also, Du *et al.* fabricated the arrays of fifty YBCO step-edge Josephson junctions in series [10]. In their report, Shapiro steps beyond the zeroth order could not be unambiguously resolved, maybe resulting from the critical current variations in the array junctions or the non-uniform microwave current distribution in the array. And Burkhardt *et al.* attributed such a cause to non-phase locking [11].

HTS array-based devices for different applications have been developed in voltage standard [12-14], wave generators [15-16], detectors and fundamental mixers [17-18]. However, harmonic mixers of HTS Josephson junction series arrays (JJASs) with high harmonic numbers have not been investigated yet.

In this paper, we integrate a meander series of three bicrystal YBCO Josephson junctions integrated with a log-periodic antenna. The harmonic mixing experiments based on three-Josephson-junction-in-series (3JJS) mixers are carried out at different bath temperatures. The synchronizations and the mixing performances are also studied in detail.

2. Experimental details

80-nm thick YBCO films covered with 20 nm *in situ* Au films were deposited via pulsed laser deposition on bicrystal magnesium oxide (MgO) substrates with a misorientation angle of 24°. YBCO film has a critical current density J_c of 2.5 MA cm⁻² and a critical temperature T_c of 85.7 K. Three Josephson junctions in shape of 6- μ m-long and 2- μ m-wide bridges crossing the grain boundary (GB), are in series with a meander then to be embedded into a log-periodic antenna, as seen in the zoomed photo in figure 1 (a). The meander has a total length of 108 μ m, a width of 4 μ m and a gap of 3 μ m. The log-periodic antenna has a maximal outer radius of 164 μ m and a minimal inner radius of 7 μ m with the ratio of outer radius to inner radius being $\sqrt{2}$ [19]. The mixers are patterned by standard photolithography and Ar-ion beam etching techniques. Mixers can be fabricated on the same 10 \times 3 mm² MgO bicrystal substrate. A silicon (Si) hyper-hemispherical lens with a diameter of 9 mm and a thickness of 0.7 mm is attached to the back of the substrate with cryogenic glue to enhance the coupling of THz radiation. The DC bias lines on the mixer chip are connected to the DC bias pins through gold wires and silver epoxy. The filter module composed of resistors and capacitors on the DC bias pins is applied to

isolate the DC and IF signals. All of these are packaged in a sample holder, shown in figure 1 (a) as well. IF output shares the path on the mixer chip with the DC bias, isolated through two capacitors.

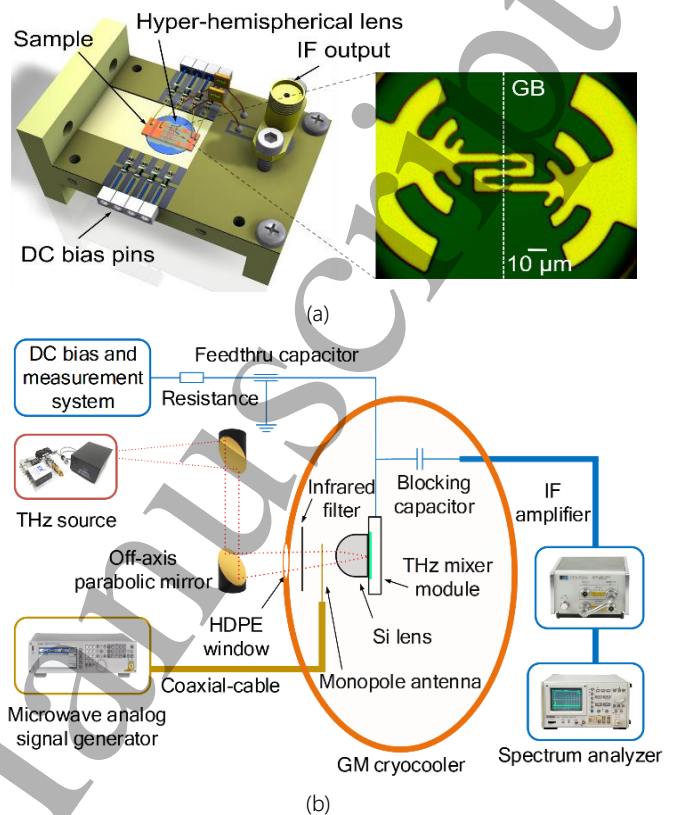


Figure 1. (a) Josephson mixer on a sample holder with packaged modules. (b) Schematic diagram of the measurement setup for harmonic mixing.

Measurements are carried out in a Gifford-McMahon (GM) cryocooler at the bath temperature from 4.5 K to 70 K. Figure 1 (b) shows the schematic diagram of the mixing setup. The THz signal is generated from a commercial VDI-Tx-S140 made by Virginia Diodes Inc. and propagates along the quasi-optical link to the sample holder with the Si lens facing to the window of the GM cryocooler. The LO pumping is from an Agilent MXG analog signal generator N5183A and radiated out through a frequency-dependent monopole antenna with a length of 1.95 cm. The frequency dependence of radiant efficiency has been calibrated by a vector network analyzer. The down-converted IF output signal is amplified by HP 8447D 011 Dual Amplifier with a gain of around 50 dB over the frequency band of 0.1-1300 MHz and then recorded in an Advantest R4131D spectrum analyzer.

3. Results and discussion

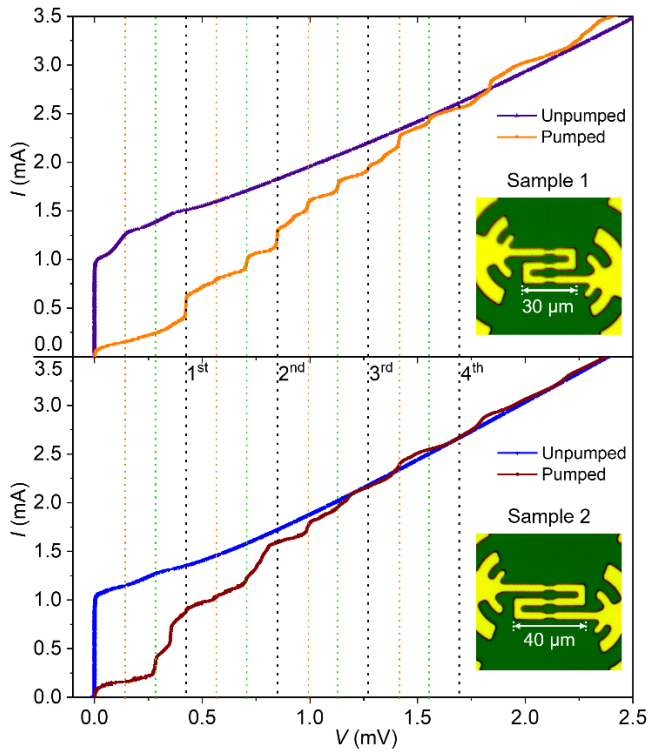


Figure 2. Measured IVCs of two 3JJS mixers with different meander lengths with and without the radiation at ~ 210 GHz and the bath temperature around 4.5 K. The voltages have been normalized to a single junction.

Figure 2 shows the measured current-voltage characteristics (IVCs) of two 3JJS mixers with different meander lengths with and without the radiation at ~ 210 GHz. In order to investigate the synchronous states of the series junctions, the voltages are normalized to a single junction, namely, divided by 3. Clear transitions are shown in the IVCs due to the variations of individual junction critical currents. Sample 1, with the meander center length of $30 \mu\text{m}$, has a critical current (I_c) of ~ 1 mA with other transitions at ~ 1.3 mA and 1.5 mA, plotted in a purple line. When the mixer is radiated with a signal at ~ 210 GHz, the IVCs in the orange line show many Shapiro steps. We mark the voltages that satisfy the Josephson voltage-frequency relationship [20] in black dashed lines, the voltages at $1/3$ of the step voltages in orange dashed lines, and the voltages at $2/3$ of the step voltages in green dashed lines. Now it is clearly seen that the steps, corresponding to phase-locked states [21], are all at $m/3$ ($m = 1, 2, 3, \dots$) of the step voltages that satisfy the Josephson voltage-frequency relationship. The phase-locked state here refers to the synchronization, resulting from resonance with the rf current, driven by an external microwave source. Thus, the $m/3$ step voltages indicate that different numbers of junctions operate synchronously at different bias currents. For example, the first step locates at $1/3$ of the voltage related to the radiation frequency, which means that only one junction operates. With higher bias currents, the number of operating junctions could be changed. Note that the voltage of 0.43 mV

($\approx \Phi_0 f_{\text{THz}} \approx 210 \text{ GHz}/483.6 \text{ GHz mV}^{-1}$) where the first order Shapiro step locates means that all junctions work synchronously, obviously, for Sample 1. The blue and wine dotted lines display the IVCs of the other 3JJS mixer with a $10\text{-}\mu\text{m}$ -longer meander center length. Its I_c is ~ 1 mA with another transition at 1.23 mA, indicating that two of the junctions have closer I_c values. As the first step is located at 0.28 mV, the $2/3$ of the frequency dependant step voltage, it shows that only two junctions work simultaneously at first. However, more uniform junctions do not mean the increase of the numbers of the synchronous junctions in the mixer. This may happen when there is a non-uniform microwave current distribution over the array. As the log-periodic antenna is a resonant antenna, the electric field distribution along the meander line is not uniform. Thus, the length of the meander should be carefully designed and experimentally checked in order to synchronize the series junctions. The optimal length of the connection to the junctions is worthy to study in our follow-up work.

The mixing experiments with zero bias are performed with these two mixers. In order to detect the maximal harmonic number, we kept LO power P_{LO} at a higher level, like 12 dBm, and adjusted the frequency from 3 GHz with -0.001 GHz step. The best result is obtained from the mixer with three junctions working synchronously. Shown in figure 3 is the spectrum of the 154^{th} harmonic mixing between a LO at a frequency of 1.35 GHz and the THz signal at ~ 210 GHz. For a down-conversion mixer, $f_{\text{IF}} = |f_{\text{THz}} - n f_{\text{LO}}|$, the THz frequency f_{THz} is $154 \times 1.35 \text{ GHz} - 106.6 \text{ MHz} \approx 207.8 \text{ GHz}$. The largest harmonic number of 154 from the 3JJS mixer is much higher than that obtained from a single junction with the harmonic numbers up to 46 when coupled with a log-periodic antenna in our previous work [22]. The minimal requiring P_{LO} for the 154^{th} harmonic mixing from Sample 1 is ~ 3 dBm, shown in figure 4 with a blue squared line, and the optimal P_{LO} is ~ 13 dBm for the maximal IF amplitude P_{IF} of -44.8 dBm. On the other hand, the maximal harmonic number we can detect from the other mixer is 116 , in which two-thirds of junctions play a role in the harmonic mixing with zero bias, under the requiring P_{LO} higher than 11 dBm. The lower border of P_{LO} for 116^{th} harmonic mixing is a bit high that we cannot find the optimal P_{LO} , which is limited by the LO. The dependences of P_{IF} on the P_{LO} at 1.805 GHz for the 115^{th} harmonic mixing are recorded in figure 4 with a red dotted line. The optimal P_{LO} for the 115^{th} harmonic mixing from Sample 2 is ~ 12 dBm to get a maximal P_{IF} of -39 dBm. Data plotted in figure 4 are oscillating, caused by the changes in IVCs due to the radiated LO signal with different power. Different P_{LO} changes the IVCs, resulting in different nonlinearities and dynamic resistances. Thus, P_{IF} changes because of different nonlinearities, and changes of impedance matching between dynamic resistances and IF output impedance (50Ω). The P_{IF} from Sample 2 with 115^{th} harmonics is higher than that from

Sample 1 with 154th harmonics, corresponding to the relationship of $P_{IF} \text{ (mW)} \propto n^\alpha$, and the scaling factor α has been reported between -2 to -3.3 for HTS junction millimeter and THz mixers [6, 23-25]. The maximal harmonic number from Sample 1 is larger than that from Sample 2, may due to one more junction contributing to the synchronous operation thus the improvement of dynamic range by the JJSA [7].

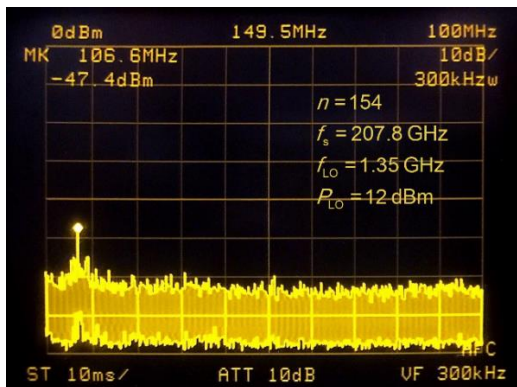


Figure 3. Measured IF frequency spectrum with the largest harmonic number being 154 from a 3JJS mixer for Sample 1 at zero-bias and the bath temperature around 4.5 K.

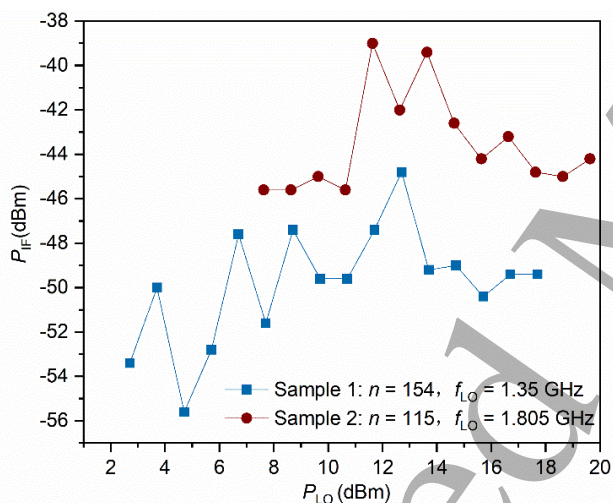
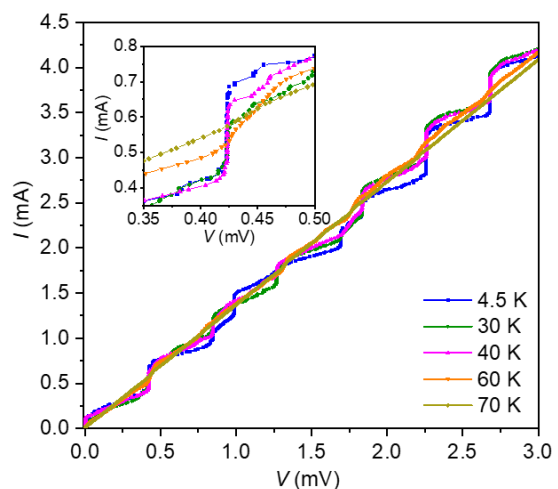
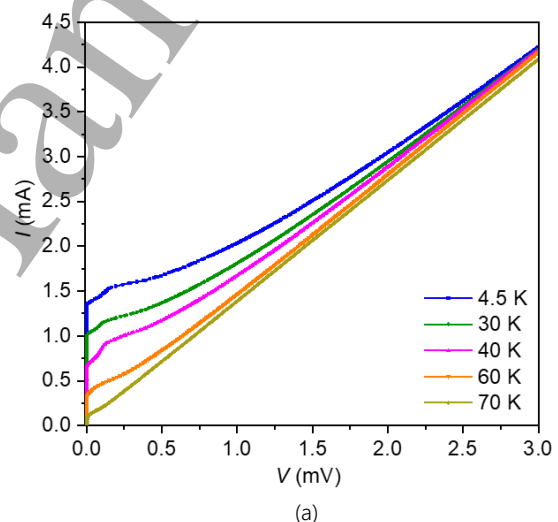


Figure 4. The dependences of IF output power on the LO power in harmonic mixing from two 3JJS mixers with different meander lengths.

Extra experiments of harmonic mixings at different bath temperatures have been performed from Sample 1, while the sample has been degraded with the I_c of ~ 1.35 mA and another transition current of ~ 1.6 mA at 4.5 K. Figure 5 (a) shows the IVCs of the 3JJS mixer at the bath temperature T from 4.5 K to 70 K. The I_c decreases from ~ 1.35 mA at 4.5 K to ~ 78 μ A at 70 K. And another current transition becomes indistinguishable when the T arises to 70 K. Under the THz radiation at 207.8 GHz, as seen in figure 5 (b), the 3JJS mixer shows different IVCs with the I_c suppressed and a series of $m/3$ of the step voltages that satisfy the Josephson voltage-frequency relationship induced. The first steps locate at 0.43

mV where the first order Shapiro steps locate, consistent with the result in figure 2. However, the steps become gradient at 60 K, and even difficult to identify at 70 K (shown in the inset).

Figure 5 (c) and (d) show the dependences of P_{IF} on the P_{LO} in the 150th harmonic mixing and 92nd harmonic mixing at different bath temperatures with zero bias, respectively. For the 150th harmonic mixing, the maximal P_{IF} of -41.2 dBm can be attained at both 4.5 K and 40 K with the P_{LO} of ~ 14 dBm. In addition, the P_{IF} at 40 K are higher than that at 4.5 K under some specific P_{LO} , may caused by the improved sensitivity of the mixer as the I_c decreases with the higher T . When T arises to around T_c , the energy gap of the junction decreases, leading to a weaker nonlinearity in the I - V curve. Thus, the P_{IF} decreases at 60 K and the IF output cannot be detected at 70 K for the 150th harmonics. As to the 92nd harmonic mixing, the maximal P_{IF} of -26.2 dBm is attained at 4.5 K with the P_{LO} of ~ 13 dBm. This may result from the stronger nonlinearity of the mixer at lower T . What's more, the maximal P_{IF} at 30 K and 40 K are attained with the P_{LO} of ~ 13 dBm as well. The IF output cannot be detected with the P_{LO} higher than 6 dBm at 70 K, on account of the increased background noise.



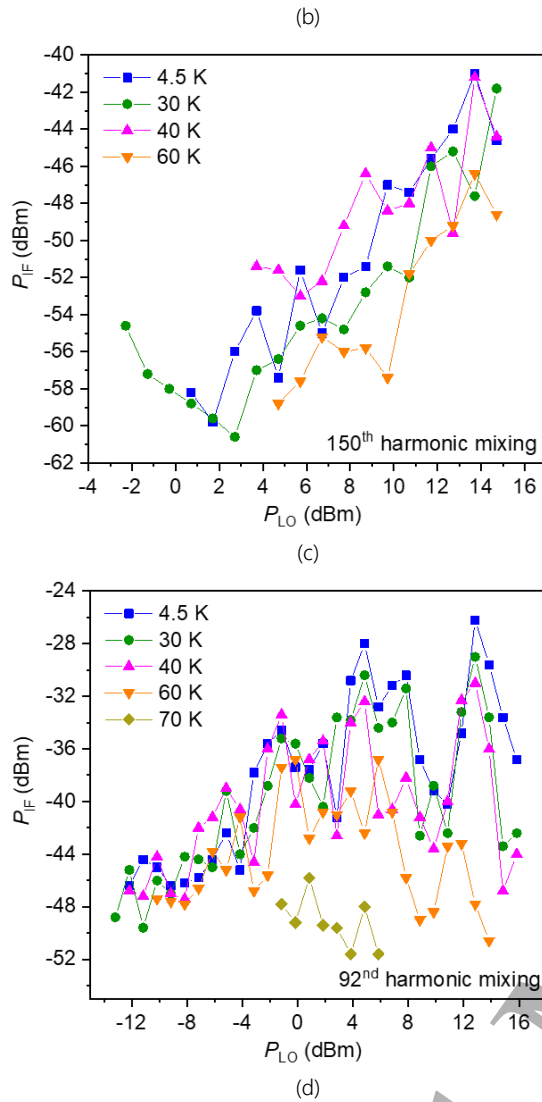


Figure 5. IVCs of the 3JJS mixer for degraded Sample 1 (a) without and (b) with the radiation at 207.8 GHz; The dependences of IF output power on the LO power in the (c) 150th harmonic mixing and (d) 92nd harmonic mixing at different bath temperatures. The voltages have been normalized to a single junction.

In a higher-order harmonic mixer, many harmonics of LO frequency and wide-band background noise cause the low saturation power and limited dynamic range in small-single Josephson junctions [26]. Now this problem can be solved by a series array structure to increase its dynamic range. The superiority of the 3JJS mixer in our experiments benefits from the synchronous operation which also improves the dynamic range. When N identical Josephson junctions in series behave in-phase, the voltage across the array is divided by N . Thus, mixer dynamic range increases in proportion to the square of series number N . We believe that the mixer performance will be more outstanding and promising in the application with more series phase-locked junctions. Future studies should investigate the effect of larger number of junctions as well as the meander length on the mixer performances.

4. Conclusion

This paper has successfully demonstrated a one-dimensional JJSA mixer, consisting of three series HTS bicrystal YBCO Josephson junctions operated synchronously at zero bias. The maximal harmonic number has reached up to 154, the largest harmonic number in a HTS series-junction mixer up to now and much higher than that obtained in single-junction mixers. The excellent performance benefits from the synchronous operation which also results in an improvement of dynamic range. Furthermore, with the zero-bias operation, the mixer needs no DC bias supply and thus no heating effect or shot noise is produced in the junction due to DC bias, which is dominant and compact for THz integration. The new-type harmonic mixer with JJSA at zero bias will be predominate in THz application.

Acknowledgments

The work was supported by the National Natural Science Foundation of China (Grant Nos. 61571219, 61727805 and 61501222), the NKRDP of China (Grant Nos. 2016YFA0301801 and 2018YFA0209002), and the National Basic Research Program of China (Grant No. 2014CB339804).

References

- [1] Blaney T G and Knight D J E 1974 Direct 825th harmonic mixing of a 1 GHz source with an HCN laser in a Josephson junction *J. Phys. D: Appl. Phys.* **7** 1882-6
- [2] Gao X, Zhang T, Du J and Guo Y J 2018 Design, modelling and simulation of a monolithic high- T_c superconducting terahertz mixer *Supercond. Sci. Technol.* **31** 115010
- [3] Gao X, Zhang T, Du J, Weily A R, Guo Y J and Foley C P 2017 A wideband terahertz high- T superconducting Josephson-junction mixer: electromagnetic design, analysis and characterization *Supercond. Sci. Technol.* **30** 095011
- [4] Gao X, Du J, Zhang T, Guo Y J and C. P. Foley 2017 Experimental investigation of a broadband high-temperature superconducting terahertz mixer operating at temperatures between 40 and 77 K *J. Infrared Milli. Terahz. Waves* **38** 1357-67
- [5] Du J, Weily A R, Gao X, Zhang T, Foley C P and Guo Y J 2017 HTS step-edge Josephson junction terahertz harmonic mixer *Supercond. Sci. Technol.* **30** 024002
- [6] Du J, Pegrum C M, Gao X, Weily A R, Zhang T, Guo Y J and Foley C P 2017 Harmonic mixing using a HTS step-edge Josephson junction at 0.6 THz frequency *IEEE Trans. Appl. Supercond.* **27** 1500905
- [7] Matsui T, Komiyama B and Ohta H 1989 Harmonic mixing in a series array of short superconducting weak-links *IEEE Trans. Mag.* **25** 1072-5
- [8] Konopka J, Wolff I, Beuven S and Siegel M 1995 Mixing and detection in YBaCuO step-edge Josephson junction arrays up to 670 GHz *IEEE Trans. Appl. Supercond.* **5** 2443-6
- [9] Wang H B, Aruga Y, Tachiki T, Mizugaki Y, Chen J, Yamashita T and Wu P H 1999 Harmonic frequency mixing in

- Bi₂Sr₂CaCu₂O_{8+x} intrinsic Josephson junctions *Appl. Phys. Lett.* **75** 2310-2
- [10] Du J, Lazar J Y, Lam S K H, Mitchell E E and Foley C P 2014 Fabrication and characterisation of series YBCO step-edge Josephson junction arrays *Supercond. Sci. Technol.* **27** 095005
- [11] Burkhardt H, Brugmann O, Rauther A, Schnell F and Schilling M 1999 Very large YBa₂Cu₃O₇-Josephson-junction-arrays *IEEE Trans. Appl. Supercond.* **9** 3153-6
- [12] Benz S and Hamilton C 1996 A pulse-driven programmable Josephson voltage standard *Appl. Phys. Lett.* **68** 3171-3
- [13] Klushin A, Prusseit W, Sodtke E, Borovitskii S I, Amatuni L E and Kohlstedt H 1996 Shunted bicrystal Josephson junction arrays for voltage standards *Appl. Phys. Lett.* **69** 1634-36
- [14] Sosso A, Andreone D, Lacquaniti V, Klushin A M, He M and Klein N 2007 Metrological study of YBCO Josephson junction arrays integrated in a Fabry-Perot resonator *IEEE Trans. Appl. Supercond.* **17** 874-7
- [15] Reuter W, Siegel M, Herrmann K, Schubert J, Zander W, Braginski A I and Muller P 1993 Fabrication and characterization of YBa₂Cu₃O₇ step-edge junction arrays *Appl. Phys. Lett.* **62** 2280-2
- [16] Wang H B *et al.* 2010 Coherent terahertz emission of intrinsic Josephson junction stacks in the hot spot regime *Phys. Rev. Lett.* **105** 057002
- [17] Tsuru K, Miyahara K and Suzuki M 1995 Millimeter wave response of Josephson junction arrays using a waveguide to microstrip line converter *Advances in Superconductivity-VIII* ed H Hayakawa and Y Enomoto (Berlin: Springer) p 1171
- [18] Sharafiev A, Malnou M, Feuillet-Palma C, Ulysse C, Wolf T, Couedo F, Febvre P, Lesueur J and Bergeal N 2018 HTS Josephson junctions arrays for high-frequency mixing *Supercond. Sci. Technol.* **31** 035003
- [19] Huo Y, Taylor G W and Bansal R 2002 Planar log-periodic antennas on extended hemispherical silicon lenses for millimeter/submillimeter wave detection applications *J. Infrared Milli. Terahz. Waves* **23** 819-39
- [20] Mangin P and Kahn R 2017 The Josephson effect *Superconductivity* trans Ziman T (Cham: Springer) p 248
- [21] Dominguez D and Cerdeira H A 1993 Order and turbulence in rf-driven Josephson junction series arrays *Phys. Rev. Lett.* **20** 3359-62
- [22] Yu M *et al.* 2019 Grain boundary Josephson junction harmonic mixer coupled with a bowtie loaded meander antenna with zero-bias operation *IEEE Trans. Appl. Supercond.* accepted
- [23] Fukumoto Y, Shigaki I, Kajikawa H, Ogawa R and Kawate Y 1993 Detection of 110 GHz millimeter-wave signal using DyBaCuO step-edge junction *IEEE Trans. Appl. Supercond.* **3** 2238-41
- [24] Fukumoto Y, Ogawa R and Kawate Y 1993 Millimeter-wave detection by YBCO step-edge microbridge Josephson junction *J. Appl. Phys.* **74** 3567-71
- [25] Chen J, Myoren H, Nakajima K and Yamashita T 1997 THz mixing properties of YBa₂Cu₃O_{7-δ} grain boundary Josephson junctions on bicrystal substrates *Phys. C* **293** 288-91
- [26] Jain A K, Likharev K K, Lukens J E and Sauvageau J E 1984 Mutual phase-locking in Josephson junction arrays *Phys. Rep.* **109** 309-426