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# The antibacterial activity of sodium hypochlorite and chlorhexidine against *Enterococcus faecalis*: A review on agar diffusion and direct contact methods

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## Abstract

Complete debridement and disinfection of the root canal system are fundamental requirements for successful endodontic treatment. Despite the morphological challenges of the internal root anatomy, root canal irrigants play an important role in the optimization of the root canal preparation, which is essentially a chemo-mechanical procedure. *Enterococcus faecalis* is one of the most resistant microorganisms that dominates the microbial ecosystem of persistent periradicular lesions in retreatment cases. For that reason, many *in vitro* and *in vivo* studies evaluated and compared the antibacterial activity of sodium hypochlorite and chlorhexidine at varying concentrations using different experimental models against this microorganism. However, many controversies with regard to the ideal irrigant and concentration do in fact exist. Hence, this review aims to discuss the antibacterial activity of these two main root canal irrigants against *Enterococcus faecalis* using the agar diffusion and direct contact methods and the possible modulating factors responsible for inconsistent findings among different studies. In addition, the disinfection potential of both chemical agents on gutta percha and Resilon cones are also discussed. The source of this review was conducted through an electronic literature search using PubMed database from December 1997 until December 2011, which analyze the related laboratory investigations of both irrigants, published in major endodontic journals.

**Keywords:** Agar diffusion, chlorhexidine, direct contact, *enterococcus faecalis*, irrigants, sodium hypochlorite

## INTRODUCTION

Complete debridement and adequate elimination of microbial irritants, including microorganisms and their toxins, is a fundamental prerequisite for successful endodontic therapy.<sup>[1]</sup> The ability to reach this goal by mechanical instrumentation is unlikely to be achieved due to the complex root canal anatomy which provides an ideal environment for microorganisms to survive and continue their pathological process.<sup>[2]</sup> Accordingly, many studies emphasized the crucial role of chemical irrigants in the optimization of root canal disinfection, and it was concluded that a chemo-mechanical preparation would pave the way for proper debridement and most favorable disinfection of the root canals.<sup>[3-6]</sup>

Potent antimicrobial activity, dissolving of remaining pulp tissues with no systemic hazards, reducing instrument friction during mechanical preparation and availability

are among the main requirements for an ideal root canal irrigant.<sup>[1,7]</sup> Sodium hypochlorite (NaOCl) has widely been accepted as a root canal irrigant since its first reported use by Walker in 1936.<sup>[8]</sup> It mainly acts as a potent antimicrobial agent<sup>[9,10]</sup> and an effective organic solvent for vital, necrotic and fixed tissue.<sup>[1,11]</sup> Despite its fulfillment of other desirable properties including availability and low cost, it has a few drawbacks. Apart from its unpleasant taste, tendency to bleach clothes and it is potentially corrosive,<sup>[1,12]</sup> there is a concern regarding its noxious effects if concentrated solutions were inadvertently forced into the periapical tissues during irrigation or leaked through the rubber dam.<sup>[12]</sup> Besides, some controversies do exist with regard to its antimicrobial activity at lower concentrations, which are advocated as an attempt to reduce its toxic reactions.<sup>[9,10,13-15]</sup>

Chlorhexidine gluconate (CHX) is routinely used in dentistry as a mouth rinse in the prevention and treatment

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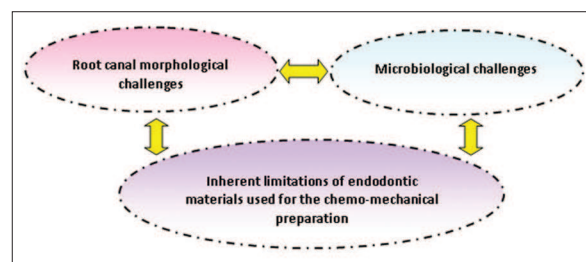
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of periodontal disease and caries.<sup>[16]</sup> It has potent and substantive antimicrobial activity against some resistant bacteria such as *Enterococcus faecalis*.<sup>[9,10,17-19]</sup> and lower cytotoxicity than NaOCl.<sup>[17,20]</sup> CHX also shows a promising potential use as a root canal irrigant and medicament.<sup>[9,18]</sup> However, there is a general agreement that CHX can serve only as an adjunct irrigant or as a final rinse rather than a reasonable substitute for NaOCl.<sup>[1,2,7]</sup> This is due to its inability to dissolve organic/necrotic tissue remnants and its lesser antibacterial activity against Gram-negative rather than Gram-positive bacteria, by which the former microorganisms are the one that predominantly found in primary endodontic infections.<sup>[1,2,7]</sup> Although CHX has been commonly held as less caustic than NaOCl, it has been reported to cause irritation to the skin.<sup>[21]</sup> In addition, its cytotoxic effects on human osteoblasts might indicate its ability to impair the regenerative potential of the periapical tissues.<sup>[22]</sup>

*Enterococcus faecalis* (*E. faecalis*) is a Gram positive facultative anaerobic bacterium found in the human normal flora. In endodontics, *E. faecalis* is rarely present in primary apical periodontitis, and is dominant in the microbial ecosystem of persistent periradicular lesions after root canal treatment.<sup>[23,24]</sup> It is ecologically tolerant and has the ability to survive harsh conditions as it exhibits considerable genetic polymorphisms and can bind to dentin and resist the action of calcium hydroxide, especially when a high pH is not maintained.<sup>[24]</sup> Apart from the virulence of *E. faecalis*, the complex anatomical variations of the root canal system, including accessory canals, inter-canal communications and apical ramifications, which favor bacterial growth and the inherent limitations of endodontic materials would add more challenges for obtaining a disinfected state of the root canal system [Figure 1]. However, high

concentrations, different formulations, applications and combinations of some root canal irrigants have been shown to reveal some promise.<sup>[1,23]</sup>

Many laboratory investigations have been carried out to evaluate and compare the antimicrobial effectiveness of root canal irrigants including NaOCl and CHX. Despite the methodological differences between those studies, many of them followed the agar diffusion and direct contact methods as the most common and simple but relevant protocols for evaluating the antibacterial activity of a given chemical agent [Tables 1 and 2]. Nevertheless, some controversies and conflicting results do exist when those protocols were applied to compare the antibacterial activity of the same chemical agents, but in different applications and/or concentrations against the same microorganism. Therefore, the purpose of this article is to present an overview of antibacterial activity of sodium hypochlorite and chlorhexidine gluconate against *E. faecalis* using the agar diffusion and direct contact methods and discuss the possible contributing factors responsible for the heterogenic findings. Studies evaluating the antibacterial action of CHX and NaOCl on gutta percha and Resilon cones using both methods are also discussed.



**Figure 1:** Challenges for the optimization of chemo-mechanical preparation during root canal treatment

**Table 1: Summary of *in vitro* studies that performed agar diffusion test to compare between the antibacterial activity of NaOCl and CHX against *E. faecalis* (BSA: Bovine Serum Albumin)**

Author/s	Year	<i>Enterococcus faecalis</i> strain/incubation time (IT)	Results (Inhibition zones/mm)
Siqueira <i>et al.</i> <sup>[25]</sup>	1998	<i>E. faecalis</i> (ATCC 29212)(IT: 48 hrs)	4% NaOCl (13 mm)>2% CHX (10 mm)>2.5% NaOCl (9 mm)=0.2% CHX (9 mm)>0.5% NaOCl (3 mm)
Ayhan <i>et al.</i> <sup>[26]</sup>	1999	Strain: not listed (IT: 24 hrs)	5.25% NaOCl (52 mm)>2% CHX (22 mm)>0.5% NaOCl (4.7 mm)
Leonardo <i>et al.</i> <sup>[27]</sup>	2001	<i>E. faecalis</i> (ATCC 10541)(IT: 24 hrs)	2% CHX (12.5 mm)>0.5% NaOCl (no inhibition)
Davis <i>et al.</i> <sup>[28]</sup>	2007	<i>E. faecalis</i> (ATCC 4082)(IT: 48 hrs)	Aerobic: 5.25% NaOCl (15.7 mm)>2% CHX (15.4 mm) Anaerobic: 2% CHX (16.8 mm)>5.25% NaOCl (15.5 mm)
Sassone <i>et al.</i> <sup>[8]</sup>	2008	<i>E. faecalis</i> (ATCC 29212)(IT: 48 hrs)	BSA: 1% CHX (19 mm)>0.5% CHX (16.1 mm)>0.12 CHX (11.1 mm)>5%=1% NaOCl (no inhibition zone) No BSA: 5% NaOCl (23.1 mm)>1% CHX (19 mm)>0.5% CHX (16.2 mm)>0.12 CHX (13.6 mm)>1% NaOCl (8.1 mm)
Vianna and Gomes <sup>[29]</sup>	2009	<i>E. faecalis</i> (ATCC 29212)(IT: 24-48 hrs)	2% CHX (gel) (6.5 mm)>2% CHX (liq) (4.5 mm)>5.25% NaOCl (2.83 mm)>2.5% NaOCl (0.5 mm)>1% NaOCl (0.3 mm) Combination 2% CHX (gel)+5.25% NaOCl (4 mm) 2% CHX (gel)+2.5% NaOCl (1.7 mm) 2% CHX (gel)+1% NaOCl (6.3 mm) 2% CHX (liq)+5.25% NaOCl (0.8 mm) 2% CHX (liq)+2.5% NaOCl (2.3 mm) 2% CHX (liq)+1% NaOCl (3.3 mm)

**Table 2: Summary of *in vitro* studies that performed direct contact test to compare between the antibacterial activity of NaOCl and CHX against *E. faecalis*. (MBEC: Minimal Biofilm Eradication Concentration, BSA: Bovine Serum Albumin)**

Author/s	Year	Type of study	Results
Gomes <i>et al.</i> <sup>[9]</sup>	2001	<i>E. faecalis</i> (ATCC 29212)	Total eradication (Te)<30 sec: 5.25% NaOCl=1 and 2% CHX (liq) (Te)30 sec: 0.2% CHX (liq), (Te)1 min: 2% CHX (gel), (Te)5 min: 4% NaOCl, (Te)10 min: 2.5% NaOCl, (Te)15 min: 1% CHX (gel), (Te)20 min: 1% NaOCl, (Te)30 min: 0.5% NaOCl, (Te)2 h: 0.2% CHX (gel) (Te)15 and 60 min: 2.25% NaOCl.
Spratt <i>et al.</i> <sup>[30]</sup>	2001	Isolate of <i>E. faecalis</i> (Biofilm model)	About 107 CFU at 15 and 60 min: 0.2% CHX
Sassone <i>et al.</i> <sup>[31]</sup>	2003	<i>E. faecalis</i> (ATCC 29212)	Negative culture (immediate, 5, 15, 30 min): 1%, 5% NaOCl and 1% CHX (BSA/No BSA) Negative culture (15, 30 min): 0.5% CHX (no BSA)
Vianna <i>et al.</i> <sup>[10]</sup>	2004	<i>E. faecalis</i> (ATCC 29212)	-No negative culture (immediate, 5, 15, 30 min): 0.12% CHX (BSA/No BSA) Total eradication (Te)15 sec: 5.25% NaOCl=1 and 2% CHX (liq) (Te)30 sec: 0.2% CHX (liq), (Te)1 min: 2% CHX (gel), (Te)5 min: 4% NaOCl, (Te)10 min: 2.5% NaOCl, (Te)15 min: 1% CHX (gel), (Te)20 min: 1% NaOCl, (Te)30 min: 0.5% NaOCl, (Te)2 h: 0.2% CHX (gel)
Abdullah <i>et al.</i> <sup>[32]</sup>	2005	Isolate of <i>E. faecalis</i>	Biofilm: 3% NaOCl (Te)2 min. 0.2% CHX 60 min only achieved 5 log <sub>10</sub> Planktonic: 3% NaOCl (Te)1 min. 0.2% CHX (Te)15 min Pellet: 3% NaOCl (Te)1 min, 0.2% CHX <1 log <sub>10</sub>
Sena <i>et al.</i> <sup>[33]</sup>	2006	<i>E. faecalis</i> (ATCC 29212)(Biofilm model)	Without mechanical agitation: (Te)30 sec: 5.25% NaOCl=2% CHX (liq) (Te)1800 sec: 2.5% NaOCl (Te)>3600 sec: 2% CHX (gel) With mechanical agitation: (Te)30 sec: 5.25% NaOCl=2.5% NaOCl=2% CHX (liq). (Te)300 sec: 2% CHX (gel)
Sassone <i>et al.</i> <sup>[8]</sup>	2008	<i>E. faecalis</i> (ATCC 29212)	Negative cultures (immediate, 5, 15, 30 min): 1%, 5% NaOCl and 1% CHX (BSA/No BSA) Negative cultures (15, 30 min): 0.5% CHX (BSA) Negative cultures (5, 15, 30 min): 0.5% CHX (no BSA) No negative cultures (immediate, 5, 15, 30 min): 0.12% CHX (BSA/No BSA)
Arias-Moliz <i>et al.</i> <sup>[34]</sup>	2009	<i>E. faecalis</i> (ATCC 29212)(Biofilm model)	The MBEC of NaOCl was 0.00625%, 0.0015625% and 0.00078125% for 1, 5 and 10 min, respectively CHX eradicated biofilms at a concentration of 2% and 1% in 5 and 10 min, respectively
Williamson <i>et al.</i> <sup>[35]</sup>	2009	Isolate of <i>E. faecalis</i> (Biofilm model)	At 1, 3 and 5 min contact: 6% NaOCl and Chlor-XTRA reduced CFUs in biofilms more than 2% CHX and CHX-Plus.
Vianna and Gomes <sup>[29]</sup>	2009	<i>E. faecalis</i> (ATCC 29212)	5.25% NaOCl=2% CHX (liq) (Te)30 sec, 2% CHX (gel) (Te)1 min, 2.5% NaOCl (3 min), 1% NaOCl (Te)5 min Combinations 2% CHX (gel)+5.25% NaOCl (Te)30 sec 2% CHX (gel)+2.5% NaOCl (Te)1 min 2% CHX (gel)+1% NaOCl (Te)20 min 2% CHX (liq)+5.25% NaOCl (Te)1 min 2% CHX (liq)+2.5% NaOCl (Te)3 min 2% CHX (liq)+1% NaOCl (Te)1 h

## Search methodology

An electronic literature search from December 1997 until December 2011 was conducted in the PubMed search engine to identify laboratory investigations published on the antibacterial activity of NaOCl and CHX at varying concentrations against *E. Faecalis* using the following keywords: “sodium hypochlorite”, “chlorhexidine” and “*Enterococcus faecalis*”. Articles from major endodontic journals (Journal of Endodontics, International Endodontic Journal, Oral Surgery, Oral Medicine, Oral Pathology, Oral Radiology and Endodontology (now named as Oral Surgery, Oral Medicine, Oral Pathology and Oral Radiology) and Australian Endodontic Journal) were identified. The pooled data were then analyzed and the studies that followed

the agar diffusion and direct contact methods were finally selected.

## Agar diffusion test

### Definition

Agar diffusion test is one of the most commonly used tests to study the antimicrobial activity of endodontic irrigants.<sup>[8]</sup> It involves the placement of paper disks, previously saturated with the chemical agent onto the agar surface sub-cultured with a selected microorganism. After a certain period of time, zones of inhibition of variable diameters form around the paper disks. These zones of inhibition signify the presence of antimicrobial activity of the given chemical agent against the selected microorganism/s.<sup>[36]</sup>



The agar diffusion method has been followed in some *in vitro* studies to evaluate the antibacterial activity of NaOCl and CHX at varying concentrations. Table 1 summarizes these reported studies which measured the inhibition zones of NaOCl and CHX against isolated or commercial strains of *E. faecalis*.

### Methods used for measuring the inhibition zones

Based on the studies summarized in Table 1, the zones of microbial growth inhibition have been measured using the following methods:

- The diameter is measured using a transparent ruler with or without 6 mm (the diameter of the paper disc) as the cut off value.<sup>[8,25,26]</sup>
- The shortest distance between the outer margin of the material and the initial point of bacterial growth.<sup>[29,37]</sup>
- The largest diameter.<sup>[28]</sup>

Leonardo *et al.*<sup>[27]</sup> used 0.05% triphenyl tetrazolium chlorate in 1.0% agar gel to provide an accurate identification of the inhibition halos whereby only the viable microorganisms will be stained by this tetrazolium-based compound.

### Comparison between NaOCl and CHX at various concentrations

Siqueira *et al.*<sup>[25]</sup> and Ayhan *et al.*<sup>[26]</sup> found that higher concentrations of NaOCl (4-5.25%) were more effective than 1-2% CHX in eradicating *E. faecalis*. On the contrary, Vianna and Gomes<sup>[29]</sup> observed the larger inhibition zones of 2% CHX either in the liquid or the gel form compared to that of 5.25% NaOCl. While Siqueira *et al.*<sup>[25]</sup> and Ayhan *et al.*<sup>[26]</sup> followed the traditional methodological procedure of the agar diffusion test by applying 6 mm paper discs soaked of the test solutions onto the agar surface, Vianna and Gomes<sup>[29]</sup> introduced hollow stainless steel tubes containing 40 µL of the test irrigant rather than the paper discs, onto the agar surface.<sup>[25,26,29]</sup> This modified application might be the cause for the inconsistent finding demonstrated in that study. Davis *et al.*<sup>[28]</sup> formulated a well-controlled study by determining the amount of each chemical agent needed to saturate 6 mm paper prior running the experiment (15 and 20 µL for NaOCl and CHX, respectively). In contrast to the results demonstrated by previous studies, they found that the difference between the antibacterial activity of 5.25% NaOCl and 2% CHX against *E. faecalis* (ATCC 4082), cultured in both aerobic and anaerobic conditions was insignificant. This quantification was only mentioned in one study by Ayhan *et al.*<sup>[26]</sup> who soaked the paper discs in 15 µL of both test irrigants.

Interestingly, Sassone *et al.*<sup>[8]</sup> compared the effectiveness of agar diffusion method by adding bovine serum albumin (BSA) as an organic load. This is to simulate the organic content within the root canal that could influence the antimicrobial capability of such substances. This study

revealed that all solutions (NaOCl 1%, 5%; CHX 0.12%, 0.5%, 1%) demonstrated a potent antimicrobial activity against *E. faecalis* when BSA was absent. When BSA was present, neither concentration of NaOCl created an inhibition zone while all CHX solutions exhibited some zone of growth inhibition. The authors reported the likely explanation for this finding was the possible formation of a high molecular weight substance, as a result of a reaction between NaOCl and BSA, which prevent the diffusion of the irrigating solution through the media. In contrast, this was not observed with CHX as it has a good diffusing ability through the agar gel.<sup>[8]</sup> Based on these results, Sassone *et al.*<sup>[8]</sup> concluded that the agar diffusion method has many critical aspects that might impair its reliability and simulation to the clinical situation. However, it is worth noting that Sassone *et al.*<sup>[8]</sup> used 7 mm paper discs and soaked them with only 10 µL of the test irrigants for 1 minute, which is different from the previous studies by Ayhan *et al.*<sup>[26]</sup> and Davis *et al.*<sup>[28]</sup>

Using the same method, some studies demonstrated that NaOCl at the concentrations of 2.5% and below has lower antibacterial activity than 2% CHX against *E. faecalis*.<sup>[25,26,27,29]</sup> However, Siqueira *et al.*<sup>[25]</sup> reported that the efficacy of 2.5% NaOCl was equivalent to that of 0.2% CHX.

### Combination of NaOCl and CHX

The combination of NaOCl and CHX has been advocated during the chemo-mechanical procedure to provide a synergistic antimicrobial activity.<sup>[20]</sup> During this combined application, CHX is frequently recommended as the final rinse owing to the importance of its antimicrobial substantivity.<sup>[7,38]</sup> Kuruvilla and Kamath<sup>[20]</sup> reported that the antimicrobial effect of 2.5% NaOCl and 0.2% CHX used in combination was better than that of using either component alone. In contrast, Vianna and Gomes<sup>[29]</sup> found that the use of 2% CHX alone showed the strongest antimicrobial action but the combination of NaOCl with CHX dramatically reduced the antibacterial activity of CHX. On the other hand, the combination of all concentrations of NaOCl to both CHX gel and liquid demonstrated a greater zone of inhibition on almost all the agar plates as opposed to the acting of NaOCl of equivalent concentration alone. A decrease in the antimicrobial activity also was observed when 2% CHX liquid were combined with higher concentration of NaOCl (2.5 and 5.25%). This observation could be explained by the large quantity of brown precipitate formed when higher concentrations of NaOCl were used presenting some difficulty for the irrigants to diffuse through the agar plates thus creating smaller zone of inhibition. In addition to the discoloration potential of this precipitate to tooth structure<sup>[39,40]</sup> and its ability to act as a barrier that obscure the contact of the chemical agent to microorganisms during root canal treatment, there are some concerns raised regarding the presence of carcinogenic parachloroaniline (PCA) in its chemical composition.<sup>[40-43]</sup>

## Modulating factors that might affect the results of the agar diffusion method among different studies

Despite the common application of the agar diffusion method, many factors, other than the actual antibacterial activity of the tested material, might affect the reliability and reproducibility of the agar diffusion method. These factors include the chemical agent's formulation (liquid or gel), its molecular size, solubility and diffusion ability of the material through the agar medium, contact between the test material and the gel, inoculum density, agar viscosity, storage conditions of the agar plates and incubation time. Furthermore, the soaking and application procedures might also affect the diffusion of the material into the agar<sup>[29,36]</sup> [Figure 2a].

## Direct contact method

### Definition

Direct contact method is a different experimental approach for evaluating the antibacterial activity of a given chemical agent, in which a fixed volume of this agent is mixed with a bacterial suspension for a certain time. The antibacterial activity is verified through culture of the resulting mixture in a nutrient medium and thus, the presence or absence of bacterial growth is evaluated for each bacterial species, chemical agent and time.<sup>[8,31]</sup> Apart from that, a few studies presented their findings by means of time taken (contact time) to produce negative cultures, which signified the 100% growth inhibition of certain microorganisms in the presence of certain irrigants.<sup>[9,10,29]</sup>

It is worth noting that the direct contact method can be further characterized in the literature based on the use of

planktonically grown microorganisms or a biofilm model. The use of simple biofilm models have been investigated to evaluate the antimicrobial efficacy since the conditions used in laboratory tests do not reflect the *in vivo* condition where bacteria grow as biofilms on tooth surface.<sup>[44]</sup> Most of the *in vitro* studies use planktonic cultures for testing the antimicrobial efficacy of endodontic irrigants. Depending on the concentration of the substance tested and the susceptibility of the microorganism, the latter can be eliminated in seconds using the planktonic cells and the direct contact methods. Unfortunately, such killing effect may not happen clinically. Therefore, it is believed that the use of biofilm model could reproduce a better picture of bacteria organization to simulate an *in vivo* condition as closely as possible compared to the use of its planktonic counterparts [Table 2].<sup>[30,33]</sup>

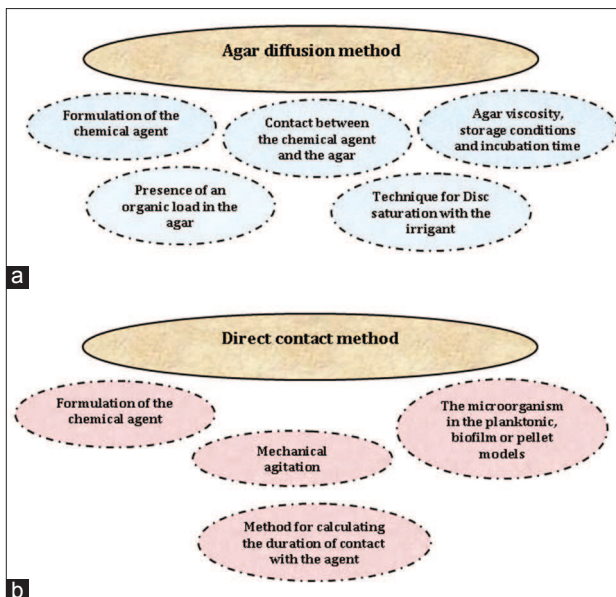
## Comparison between NaOCl and CHX at various concentrations

### Planktonic model

Some studies compared the antibacterial activity between NaOCl and CHX in the liquid and gel forms against *E. faecalis*, and the results revealed that 5.25% NaOCl and 2% CHX liquid took about 15 to 30 seconds to eliminate the microorganisms whereas 2% CHX gel took about 1 minute to produce 100% inhibition of *E. faecalis* growth.<sup>[9,10,29]</sup> Meanwhile, slightly lower NaOCl concentration at 2.5-4% took about 3 to 10 minutes to produce negative cultures.<sup>[9,10,29]</sup> and further dilution of NaOCl (0.5-1%) showed a delayed activity that can reach up to 30 minutes to achieve negative culture upon direct contact, suggesting that the antibacterial activity of NaOCl is proportional to its concentration being more potent at higher concentrations.<sup>[9,10,29]</sup>

It was also observed that CHX gel at lower concentrations (1% and 0.2%) were significantly less effective, and produced complete inhibition after 15 minutes and 2 hours, respectively.<sup>[9,10]</sup> In contrast, CHX liquid at lower concentrations (1% and 0.2%) exerted their antibacterial activity against *E. faecalis* within similar time range taken as for 2% CHX liquid (15 to <30 seconds).<sup>[9,10]</sup> The possible explanation for this finding could be related to the nature of CHX liquid that mixes well with the bacterial suspension, thus immediately exerting its bacterial action. On the other hand, the gel formulation is more difficult to mix, preventing the direct contact between the bacterial cells and CHX, thus requiring a longer time to exert its antibacterial action, though its action is more substantive.<sup>[9]</sup> It is also worth noting the use of CHX gel during mechanical instrumentation may have some advantages over the liquid form as it acts as a lubricant and has the ability to remove dentin debris and remaining tissues.<sup>[18]</sup>

A study by Sassone *et al.*<sup>[8]</sup> found that the presence of bovine serum albumin (BSA) in the direct contact test did not affect



**Figure 2:** Possible modulating factors that may cause inconsistencies results of the agar diffusion and direct contact methods when NaOCl and CHX are examined at a given concentration

the antibacterial activity of 1% and 5% NaOCl solutions against *E. faecalis*. Meanwhile, 1% CHX was effective throughout all time intervals indicated (immediate, 5, 15 and 30 minutes) whereas 0.5% CHX showed some delay in the antibacterial activity, especially in the presence of BSA. Conversely, 0.12% CHX was not effective against *E. faecalis* independent of time or addition of BSA.

### Biofilm model

NaOCl and CHX have been demonstrated as an effective antimicrobial agents against biofilm populations.<sup>[30,32,34,35]</sup> Nevertheless, different concentrations of NaOCl, ranging from 0.00625% to 6% have shown to be the most significantly effective antibacterial agent which killed *E. faecalis* within 1 minute<sup>[34,35]</sup> and 2-3 minutes.<sup>[32,35]</sup> In contrast, 2% and 0.2% CHX took 5 minutes and only reducing the colony forming units (CFU) to 10<sup>7</sup> after 1 hour, respectively.<sup>[30,32,34,35]</sup>

The related literature shows that 5.25% NaOCl exerts its antibacterial action within 30 seconds, which shows no difference in terms of time taken when *E. faecalis* is grown in either a biofilm model<sup>[33]</sup> or a planktonic suspension.<sup>[9]</sup> Nevertheless, 2% CHX gel shows a significant delay in its antibacterial action from 1 minute<sup>[9]</sup> to >1 hour<sup>[33]</sup> when the results are compared on planktonic suspension and biofilm model, respectively. These findings are supported by the fact that the organization of bacteria within biofilms confers a range of phenotypic properties that are different or not evident in their planktonic counterparts, and thus are far less susceptible to antimicrobial killing.<sup>[32,44]</sup> The rationale behind this relies on the nature of biofilm itself, which is defined as the communities of microorganisms attached to a surface, embedded in extra-cellular matrix of polysaccharides.<sup>[44]</sup> The development of an organized community with complex differentiation and functional heterogeneity would constitute a protected mode of growth that allows the microorganisms to survive in a variety of harsh environments.<sup>[45]</sup> In an attempt to overcome this

challenge, Sena *et al.*<sup>[33]</sup> proved that mechanical agitation of CHX gel can be able to reduce the time taken to inhibit the growth of *E. faecalis* from 1 hour to 15 minutes. This mechanical agitation probably has disrupted the normal organization of the biofilm thus providing a more effective exposure of the well-protected microorganism to the chemical agent.

### Pellet model

Interestingly, Abdullah *et al.*<sup>[32]</sup> investigated the efficacy of 3% NaOCl and 0.2% CHX on *E. faecalis* using planktonic suspension, biofilm and pellet. The results showed that complete eradication has occurred after 1 minute of exposure to NaOCl in both planktonic suspension and pellet, but the time was doubled for the biofilm. Meanwhile, CHX took 15 minutes to produce 100% bacterial killing in planktonic suspension, which was not demonstrated in the biofilm and pellet throughout all time intervals. Abdullah *et al.*<sup>[33]</sup> commented that the resistance of *E. faecalis* pellet to CHX is attributed to the inability of CHX to penetrate into the center of the pellet while NaOCl possessed a potent antibacterial action against *E. faecalis* regardless of growth condition or presentation of the bacterial cells because of its tissue dissolving properties. As such, its antibacterial action may be less inhibited by the exo-polysaccharides matrix in the biofilm or the multiple layers of bacterial cells in the pellet form. Indeed, the difference in susceptibility towards CHX between *E. faecalis* biofilm and planktonic suspension is also attributed to the complex structural and dynamic resistance mechanisms organized in the biofilm.<sup>[44,46]</sup>

### Combination of NaOCl and CHX

Vianna and Gomes<sup>[29]</sup> reported that both 2% CHX gel and liquid forms constitute the best performance when combined with 5.25% NaOCl, which revealed 100% growth inhibition of *E. faecalis* at 30 seconds. The significantly lowest antibacterial activity was demonstrated when 2% CHX at both the liquid and gel formulations were combined with

**Table 3: Summary of *in vitro* studies examined the antibacterial activity of gutta percha and/or Resilon cones against *E. faecalis* after the soaking with NaOCl and CHX**

Author/s	Year	Type of study	Results
Gomes <i>et al.</i> <sup>[47]</sup>	2005	<i>E. faecalis</i> (ATCC 29212) (Direct contact) (Gutta percha)	Total eradication (Te)15 sec: 1 and 2% CHX (liq), (Te)30 sec: 0.2% CHX (liq), (Te) 45 sec: 5.25% NaOCl, (Te)1 min: 2% CHX (gel), (Te)10 min: 2.5% NaOCl, (Te)15 min: 1% CHX (gel), (Te)20 min: 1% NaOCl, (Te)30 min: 0.5% NaOCl, (Te)2h: 0.2% CHX (gel). No growth with 1 and 5% NaOCl for 1 and 5 min. No growth with 2% CHX for 5 min. 3/7 samples bacterial growth 2% CHX for 1 min.
Dumani <i>et al.</i> <sup>[49]</sup>	2007	<i>E. faecalis</i> (ATCC 29212) (Direct contact) (Resilon)	Gutta percha: No inhibition zones for both. Resilon cones: 2% CHX gel 10 min (0.28 mm), 20 min (0.7 mm), and 30 min (1.2 mm). 5.25% NaOCl no inhibition zones
Gomes <i>et al.</i> <sup>[37]</sup>	2007	<i>E. faecalis</i> (isolated from clinical trials) (Agar diffusion test) (IT: 24-48 hrs) (Gutta percha and Resilon cones)	5.25% NaOCl and 2% CHX: no bacterial growth at 1, 5 and 10 min.
Royal <i>et al.</i> <sup>[48]</sup>	2007	Strain is not listed (Direct contact) (Gutta percha and Resilon pellets)	No bacterial growth 2% CHX contact for 1 and 10 min. No bacterial growth 1% NaOCl contact for 10 min. 40% bacterial growth 1% NaOCl contact for 1 min.
Nabeshima <i>et al.</i> <sup>[50]</sup>	2011	<i>E. faecalis</i> (ATCC 29212) (Direct contact) (Gutta percha)	



only 1% NaOCl. In this instance, these combinations took as long as 20 minutes and 1 hour to exert their maximum antibacterial activity, respectively. The possible explanation for the shortened killing time of *E. faecalis* when higher concentrations of NaOCl are combined with CHX would be that larger amounts of (PCA) precipitate are formed that would cause considerable chemical changes in the liquid media thus leading to a more rapid microbial death.<sup>[29]</sup>

### Modulating factors that might affect the results of the direct contact method among different studies

Due to the presence of different methodological procedures amongst these laboratory investigations, it is difficult to come out with an accurate comparison of the inherent antibacterial activity between NaOCl and CHX. Different physical forms of the antimicrobial agents, method for calculating the duration of contact with the agent, the use of mechanical agitation and the different presentation of microorganisms (planktonic, biofilm or pellet) are all modulating factors that may affect the results [Figure 2b]. Despite the prime importance of proposing a standardized protocol, it seems that the debate between the most effective antibacterial activity and least cytotoxic concentration of a given chemical agent would remain.

### Disinfection of gutta percha and Resilon cones

Although gutta percha cones usually are introduced into the market in sterile and firmly sealed packages, their subjection to the dental operating environment shows an increased likelihood for contamination by a variety of microorganisms such as cocci, rods and yeasts.<sup>[47,48]</sup> Gomes *et al.*<sup>[47]</sup> found that gutta percha cones can be easily contaminated during handling by gloves, without using sterile gauze. Indeed, this contamination may cause re-infection to the prepared root canals and periapical tissues, thus affecting the rate of clinical success.<sup>[48]</sup> Gutta percha cones cannot be sterilized by the usual moist and dry heat sterilization due to their thermoplastic nature.<sup>[47]</sup> Owing to this inherent property, some studies evaluated the ability of chemical disinfectants such as NaOCl and CHX to provide a rapid and potent disinfection to gutta percha and Resilon cones against *E. faecalis* [Table 3].

Using the agar diffusion method, Gomes *et al.*<sup>[37]</sup> examined the residual antibacterial effect of NaOCl and CHX gel against *E. faecalis*, and found that neither of them was able to present any residual antibacterial effects, due to their inability to bind on gutta percha cones after rinsing by sterile saline solution and vortexing for 1 minute before adding onto the agar surface. Nevertheless, the antibacterial property was found effective when Resilon cones were treated with CHX gel.

By applying the direct contact test, Gomes *et al.*<sup>[47]</sup> Royal *et al.*<sup>[48]</sup> and Nabeshima *et al.*<sup>[50]</sup> demonstrated the rapid (1 minute

or less) and entire disinfection of gutta percha cones from *E. faecalis* using high concentrations of NaOCl (5.25%) and CHX gel (2%). On the contrary, Dumani *et al.*<sup>[49]</sup> found that 2% CHX was only effective (100% eradication) with Resilon cones after 5 minutes of contact. Lower concentrations of NaOCl (<2.5%) showed contradictory results with gutta percha and Resilon cones.<sup>[47,49,50]</sup> It is worth noting that Resilon has been reported to undergo color changes when combined with NaOCl or CHX, and forms a pink precipitate with the latter.<sup>[48]</sup>

### Concluding remarks

Sodium hypochlorite and chlorhexidine possess an effective antibacterial action against *E. faecalis* and their level of effectiveness depends mainly upon a) their concentration and form, b) different models of the microorganism and c) experimental procedures including manipulation and duration of contact with the microorganism. Besides the possible toxic interaction, the synergistic antibacterial activity of NaOCl/CHX combination remains controversial.

Although the agar diffusion and direct contact methods are considered as valuable tools for evaluating and comparing the antibacterial activity of chemotherapeutic agents, a comprehensive and detailed standard protocol is required to ensure high levels of reliability and reproducibility among different researchers.

Disinfection of gutta percha and Resilon cones with high concentrations of 5.25% NaOCl or 2% CHX would maintain the sterility of the prepared root canal system. However, the chemical interaction between Resilon cones and both irrigants requires further investigations.

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